



The Financial Integrity Pledge:

- Your advisor shall act in your best interest
- You should receive customized service appropriate to your needs
- You have the right to free choice
- You should receive an answer to any question
- You have the right to pay a fair price (or premium)
- You have the right to be informed
- You should be treated fairly and respectfully
- You have the right to full disclosure and updates
- You shall receive quality service and fair resolutions (claims handling)
- You have the right to change or cancel a financial service and be notified of any change